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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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09/692,885

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Kenneth R. Owens

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EXAMINER

KADING, JOSHUA A

ART UNIT

PAPER NUMBER

2661

12

DATE MAILED: 08/03/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/692,885

Applicant(s)

OWENS ET AL.

Examiner

Joshua Kading

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 17 May 2004.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-24 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-24 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|---|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Claim Rejections - 35 USC § 103

The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

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Claims 1, 3-9, 11, 13, 14, and 17-23 are rejected under 35 U.S.C. 103(a) as being unpatentable over Lamport et al. (U.S. Patent 5,138,615) in view of Hsing et al. (U.S. Patent 6,167,025).

10

In regard to claim 1, Lamport discloses "in a data network comprised of a plurality of data switches interconnected to form a plurality of data paths forming a mesh configuration of data switches, a method of re-routing data messages between first and second data switches over a pre-established alternate data path linking said first and second data switches comprised of the steps of

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a. sending at least a first data message over a first data path from said first switch to said second switch (figure 2; col. 1, lines 21-32 where any one of the switches acts as a first switch and the receiving switch acts as the second switch);

b. receiving at said first data switch, switch status messages from said second switch (col. 37, lines 15-48 where the ACK messages are the status messages)..."

20

However, Lamport lacks what Hsing discloses, "c. upon the loss of said switch status messages at said first switch (col. 14, lines 44-46 where the fault indicates the loss of messages to a switch as indicated in col. 13, lines 15-17), re-directing

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subsequent data messages over an alternate data path through said data network (col. 14, lines 46-58)."

It would have been obvious to one with ordinary skill in the art at the time of invention to include the re-directing of data messages with the rest of the method for the purpose of restoring virtual connections in a faulty switched network (Hsing, col. 4, lines 21-24). The motivation for restoring the virtual connections is so that users currently involved in communication will not have that communication interrupted if a failure occurs, the integrity of the connection will be maintained until the communication is complete.

In regard to claim 11, Lamport discloses "in a data network comprised of a plurality of data switches interconnected to form a plurality of data paths forming a mesh configuration of data switches, a method of re-routing data messages around a data switch comprised of the steps of:

a. sending at least a first data message over a first data path from a first switch to a second switch (figure 2; col. 1, lines 21-32 where any one of the switches acts as a first switch and the receiving switch acts as the second switch);

b. sending said at least first data message from said second switch to a third switch (figure 2; col. 1, lines 21-32 where any one of the switches acts as the second switch and the receiving switch acts as the third switch);

c. receiving at said second data switch, switch status messages indicating the functionality of said third data switch (col. 37, lines 15-48 where the ACK messages are the status messages)..."

However, Lamport lacks what Hsing discloses, "d. upon the loss of said switch
5 status messages at said second switch, sending a switch failure message from said second switch to said first switch (col. 14, lines 44-46 implying that in a communication system the only way to determine which switch failed is to communicate the information by sending messages between switches, which can include the second data switch); e. upon the receipt of said switch failure message at said first switch, said first switch
10 re-directing subsequent data messages away from said second and third switch via a second data path through said data network (col. 14, lines 46-58 where clearly if there is a switch that has not necessarily failed but is in the failed path, that switch will be avoided)."

It would have been obvious to one with ordinary skill in the art at the time of
15 invention to include the re-directing of data messages with the rest of the method for the purpose of restoring virtual connections in a faulty switched network (Hsing, col. 4, lines 21-24). The motivation for restoring the virtual connections is so that users currently involved in communication will not have that communication interrupted if a failure occurs, the integrity of the connection will be maintained until the communication is
20 complete.

In regard to claims 3 and 13, Lamport and Hsing disclose the method of claims 1 and 11. However, Lamport lacks "said data switches are asynchronous transfer mode switches." Hsing however, further discloses "said data switches are asynchronous transfer mode switches (figure 1)." It would have been obvious to one with ordinary skill
5 in the art at the time of invention to include the ATM switches with the method of claims 1 and 11 for the same reasons and motivation as in claims 1 and 11.

In regard to claims 4 and 14, Lamport and Hsing disclose the method of claims 1 and 11. However, Lamport and Hsing lack "said data switches are IP routers." Although
10 Lamport and Hsing disclose ATM switches and not IP routers, it would have been obvious to one with ordinary skill in the art at the time of invention to choose IP routers instead of ATM switches because the choice is dependent on the type of network the switches operate in. If it is an ATM network, the switches need to be able to handle ATM traffic; and if the network is IP, the routers need to be able to handle IP traffic.
15 Thus the choice of IP routers versus ATM switches is a matter of design choice. The motivation for choosing IP routers is to ensure the routers work properly within their network.

In regard to claims 5 and 17, Lamport and Hsing disclose the method of claims 1
20 and 11. However, Hsing lacks "said switch status messages are comprised of a predetermined format, [that of a] switch liveness message." Lamport however, further discloses "said switch status messages are comprised of a predetermined format, [that

of a] switch liveness message (col. 37, lines 15-48 where the ACK messages are the status messages and it is known in the art that ACK messages have a predetermined format; an ACK message is the functional equivalent of a liveness message because it allows the receiving switch to know that there isn't a failure in the link of the sending switch)." It would have been obvious to one with ordinary skill in the art at the time of invention to include the predetermined format message with the method of claims 1 and 11 for the same reasons and motivation as in claims 1 and 11.

In regard to claims 6 and 18, Lamport and Hsing disclose the method of claims 1 and 11. However, Lamport lacks "at least one of said switches maintains a table of incoming link and path identifiers and of outgoing link and path identifiers." Hsing however, further discloses "at least one of said switches maintains a table of incoming link and path identifiers and of outgoing link and path identifiers (figures 2, 3A, 3B, and 3C where element 212 will contain information on the incoming and outgoing calls which will contain path identifiers as seen in figures 3A, 3B, and 3C)." It would have been obvious to one with ordinary skill in the art at the time of invention to include the link table with the method of claims 1 and 11 for the same reasons and motivation as in claims 1 and 11.

In regard to claims 7 and 19, Lamport and Hsing disclose the method of claims 1 and 11. However, Lamport lacks "said first data message represents speech information." Hsing however, further discloses "said first data message represents

speech information (col. 3, lines 8-10 represent some of the types of communications that can benefit from the fault protection system, teleconferencing (which includes voice) can be one of those options).” It would have been obvious to one with ordinary skill in the art at the time of invention to include the speech information with the method of claims 1 and 11 for the same reasons and motivation as in claims 1 and 11.

In regard to claims 8 and 20, Lamport and Hsing disclose the method of claims 1 and 11. However, Lamport lacks “said first data [message] represents computer data.” Hsing however, further discloses “said first data [message] represents computer data (col. 3, lines 8-10 represent some of the types of communications that can benefit from the fault protection system, World Wide Web applications is computer data).” It would have been obvious to one with ordinary skill in the art at the time of invention to include the computer data with the method of claims 1 and 11 for the same reasons and motivation as in claims 1 and 11.

In regard to claims 9 and 21, Lamport and Hsing disclose the method of claims 1 and 11. However, Lamport lacks “said step of re-directing said [subsequent] data messages from said first path over [said alternate] path through said data network includes the [step] of: sending [said] subsequent data messages to a third data switch.” Hsing however, further discloses “said step of re-directing said [subsequent] data messages from said first path over [said alternate] path through said data network includes the [step] of: sending [said] subsequent data messages to a third data switch

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(col. 14, lines 44-46 implying that in a communication system the only way to determine which switch failed is to communicate the information by sending messages between switches, which can include a third data switch).” It would have been obvious to one with ordinary skill in the art at the time of invention to include the re-directing to a third switch with the method of claims 1 and 11 for the same reasons and motivation as in claims 1 and 11.

In regard to claim 22, Lamport discloses “in a data network comprised of a plurality of data switches interconnected to form a plurality of data paths forming a mesh configuration of data switches, a method of re-routing data messages between first and second data switches over a pre-established alternate data path linking said first and second data switches comprised of the steps of:

a. sending at least a first data message over a first data path from said first switch to said second switch (figure 2; col. 1, lines 21-32 where any one of the switches acts as a first switch and the receiving switch acts as the second switch)...”

However, Lamport lacks what Hsing discloses, “b. upon the loss of said first data message at said second switch (col. 14, lines 44-46 where the fault indicates the loss of messages to a switch as indicated in col. 13, lines 15-17), sending a switch status messages to said first switch, the receipt of said switch status message thereby causing the re-directing of subsequent data messages over [said] alternate data path through said data network (col. 14, lines 46-58 where the detecting of a switch failure must include notification of the failure which, as read, initiates the re-directing sequence).”

It would have been obvious to one with ordinary skill in the art at the time of invention to include the re-directing of data messages with the rest of the method for the purpose of restoring virtual connections in a faulty switched network (Hsing, col. 4, lines 21-24). The motivation for restoring the virtual connections is so that users currently
5 involved in communication will not have that communication interrupted if a failure occurs, the integrity of the connection will be maintained until the communication is complete.

In regard to claim 23, Lamport discloses "in a data network comprised of a
10 plurality of data switches interconnected to form a plurality of data paths forming a mesh configuration of data switches, a method of re-routing data messages around a data switch comprised of the steps of:

a. sending at least a first data message over a first data path from a first switch to a second switch (figure 2; col. 1, lines 21-32 where any one of the switches acts as a
15 first switch and the receiving switch acts as the second switch)..."

However, Lamport lacks what Hsing discloses, "b. sending said at least first data message from said second switch to a third switch (col. 14, lines 44-46 implying that in a communication system the only way to determine which switch failed is to communicate the information by sending messages between switches, which can
20 include a third data switch); upon the loss of said first data message at either said second switch or said third switch, sending a switch status message to at least one of said first and second switches thereby causing the re-directing of subsequent data

messages away from said second and third switch via another data path through said data network (col. 14, lines 46-58 where the detecting of a switch failure must include notification of the failure to all switches of the failed path which, as read, initiates the re-directing sequence)."

5 It would have been obvious to one with ordinary skill in the art at the time of invention to include the re-directing of data messages with the rest of the method for the purpose of restoring virtual connections in a faulty switched network (Hsing, col. 4, lines 21-24). The motivation for restoring the virtual connections is so that users currently involved in communication will not have that communication interrupted if a failure
10 occurs, the integrity of the connection will be maintained until the communication is complete.

Claims 2, 10, 12, and 24 are rejected under 35 U.S.C. 103(a) as being unpatentable over Lamport and Hsing as applied to claims 1, 11, and 23 above, and
15 further in view of McGill (U.S. Patent 5,436,886).

In regard to claims 2 and 12, Lamport and Hsing disclose the method of claims 1 and 11. However, Lamport and Hsing lack "said alternate data path is a protection path through said network." McGill however, discloses "said alternate data path is a
20 protection path through said network (figure 5, where the primary path from SF0 is broken, thus the protection path from SF1 is activated and used as can be read in col. 6, lines 12-17)." It would have been obvious to one with ordinary skill in the art at the

time of invention to include the protection path with the method of claims 1 and 11 for the purpose of having an alternate path should the primary path not transmit or fail. The motivation being increased reliability in data transmission.

5 In regard to claims 10 and 24, Lamport and Hsing disclose the method of claims 1 and 11. However, Lamport and Hsing lack "said first data switch is a protection switch element." McGill however, discloses "said first data switch is a protection switch element (figure 5, where the primary switch, SF0, is no longer able to transmit data, therefore the protection switch SF1 is activated and used as can be read in col. 6, lines 12-17)." It
10 would have been obvious to one with ordinary skill in the art at the time of invention to include the protection path with the method of claims 1 and 11 for the purpose of having an alternate path should the primary path not transmit or fail. The motivation being increased reliability in data transmission.

15 In regard to claim 2, Lamport and Hsing disclose "the data network of claim 1". However, both Lamport and Hsing lack what McGill discloses, "said alternate data path is a protection path through said network (figure 5, where the primary switch, SF0, is no longer able to transmit data, therefore the protection switch SF1 is activated and used as can be read in col. 6, lines 12-17)." It would have been obvious to one with ordinary
20 skill in the art at the time of invention to include the protection path with the method of claims 1 and 11 for the purpose of having an alternate path should the primary path not transmit or fail. The motivation being increased reliability in data transmission.

Claims 15 and 16 are rejected under 35 U.S.C. 103(a) as being unpatentable over Lamport and Hsing as applied to claim 11 above, and further in view of Shew et al. (U.S. Patent 6,530,032 B1).

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In regard to claim 15, Lamport and Hsing disclose the method of claim 11. However, Lamport and Hsing lack "said data switches are digital cross connect switches controlled by MPLS." Shew however, discloses "said data switches are digital cross connect switches controlled by MPLS (col. 2, lines 8-11 where electrical is taken to be digital; col. 2, lines 28-32 identifies the MPLS controller)." It would have been obvious to one with ordinary skill in the art at the time of invention to include the digital switches and MPLS control with the method claim 11 for the purpose of re-routing data with greater ease. The motivation being shorter delays in re-routing data when failures occur (col. 1, lines 19-28; col. 5, lines 31-36).

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In regard to claim 16, Lamport and Hsing disclose the method of claim 11. However, Lamport and Hsing lack "said data switches are optical cross connects and switches controlled by MPLS." Shew however, discloses "said data switches are optical cross connects and switches controlled by MPLS (col. 2, lines 8-11; col. 2, lines 28-32 identifies the MPLS controller)." It would have been obvious to one with ordinary skill in the art at the time of invention to include the optical switches and MPLS control with the method claim 11 for the purpose of re-routing data with greater ease. The motivation

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being shorter delays in re-routing data when failures occur (col. 1, lines 19-28; col. 5, lines 31-36).

Response to Arguments

5 The claim objections from the previous Office Action are withdrawn in light of applicant's amendments.


Applicant's arguments, see specifically Remarks, page 7, lines 5-page 8, lines 1-7, filed 17 May 2004, with respect to the rejection(s) of claim(s) 1, 11, 22, and 23 (and all
10 claims depending on claims 1, 11, 22, and 23) under 35 U.S.C. 103 have been fully considered and are persuasive. Therefore, the rejection has been withdrawn. However, upon further consideration, a new ground(s) of rejection is made in view of a better understanding of applicant's invention.

15 Any inquiry concerning this communication or earlier communications from the examiner should be directed to Joshua Kading whose telephone number is (703) 305-0342. The examiner can normally be reached on M-F: 8:30AM-5PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Douglas Olms can be reached on (703) 305-4703. The fax phone number
20 for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only.

- 5 For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



Joshua Kading
Examiner
Art Unit 2661

10 July 27, 2004



KENNETH VANDERPUYE
PRIMARY EXAMINER